# SDRP JOURNAL OF EARTH SCIENCES & ENVIRONMENTAL STUDIES (ISSN: 2472-6397)

2017

#### SHORT COMMUNICATION

Nile Red Staining as a Subsidiary Method for Microplastic Quantification: A Comparison of Three Solvents and Factors Influencing Application Reliability

DOI: 10.15436/JESES.2.2.1

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RECEIVED DATE: 29-11-2016; ACCEPTED DATE: 02-12-2016; PUBLISHED DATE: 07-12-2016

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## CONFLICTS OF INTEREST THERE ARE NO CONFLICTS OF INTEREST FOR ANY OF THE AUTHORS.

#### ABSTRACT:

The quantification of microplastics is a challenging task to the scientific community, especially as the existing analytical methods limit sample numbers due to difficulties associated with high expenses and time consuming procedures. Quantifying microplastics by staining with Nile Red can be helpful in distinguishing these particles from other inorganic (e.g. sediment) or organic (e.g. plant material) matter. In the present study, the benefits of acetone, chloroform and n-hexane as extraction solvents for Nile Red staining were investigated. For this study, various polymer types, namely high-density and low-density polyethylene (HDPE, LDPE), polypropylene (PP), polystyrene (PS), polyethylene terephthalate (PET), polyamide (PA), polyvinyl chloride (PVC) and cellulose acetate (CA), several post-consumer products (freezing bag, bottle cap, plastic bottle, styrofoam, fishing line, food container, pipe and cigarette butt) as well as biogenic material (algae, hard plant material, soft plant material) were used as test materials. Results indicated chloroform to be the most suitable solvent achieving recovery rates of 83.3% for the group of HDPE, LDPE, PP and PVC being the most demanded polymer types in Europe. However, the proposed method does not reach the reliable quantification capabilities of Raman-spectroscopy or Fourier transform infrared spectroscopy. Nevertheless, it can aid the assessment of microplastic abundances. In conclusion, staining with Nile Red does not require expensive equipment and allows the quick evaluation of a large number of samples for the assessment of microplastics.

KEYWORDS: environmental pollution, microlitter, microplastic identification,

#### INTRODUCTION

Microplastics, being defined as plastic particles smaller than 5 mm in their longitudinal orientation, gained rising scientific interest in the last decades.<sup>1-3</sup> They can be differentiated into primary (e.g. abrasive scrubbers) and secondary microplastics resulting from the fragmentation of larger particles, i.e. through UVB-degradation.<sup>2,4</sup> Microplastic pollution of aquatic ecosystems can have a variety of ecological consequences. Among these the ingestion of plastic particles by aquatic species, including negative implications on their metabolis of plastics<sup>1,7</sup> and possible ecotoxicological impacts should be considered.<sup>8,9</sup>

The quantification of microplastics is based on the distinction of these particles from other inorganic (e.g.

sediment) or organic (e.g. plant material) matter. Various methods depending on the difference in density of particles have been applied in order to separate sediment from particularly lighter compartments of the sample.<sup>3, 10-12</sup> The identification of microplastics as synthetic polymers poses a major challenge due to interference issues associated 35

with organic particles.<sup>13,14</sup> Currently, the assessment of the polymer composition via (micro-)Fourier transform infrared (FTIR) spectroscopy,<sup>13,15,16</sup> (micro-)Raman-spectroscopy<sup>6,17</sup> and Pyrolysis-gaschromatography with mass spectrometry<sup>18-20</sup> are approaches commonly applied allowing consistent predictions on the abundance and

composition of microplastics within a sample.<sup>21,22</sup> However, these methods rely on expensive equipment and include time-consuming procedures.<sup>14,21</sup>

Besides spectroscopic techniques a quantitative differential staining approach based on the lipophilic dye Nile Red (9-diethylamino-5H-benzo  $[\alpha]$  phenoxazine-5-one) has been applied.<sup>23-28</sup> The phenoxazone Nile Red was first used in the field of microbiology for detecting intracellular lipid droplets as well as for flow cytofluorometry<sup>29,30</sup> and has firstly been adapted for the purpose of microplastic analysis by Andrady.<sup>27</sup> Though this approach does not reveal information on the chemical structure of the particles, it allows a quick and inexpensive estimation of the microplastic load in a sample.<sup>26</sup> The wavelength of the maximum emission and the intensity of the fluorescence strongly depend on the specific solvent used for extraction.<sup>30</sup> In terms of microplastic analysis acetone and n-hexane were used so far.<sup>26-28</sup> In microbiology, use of nheptane, chloroform, xylene, and ethanol solvents in Nile Red staining were additionally reported.<sup>29</sup> Recently, Shim et al. presented a comparison of eight possible solvents for Nile Red to improve the staining approach for the quantification of microplastics.<sup>28</sup> Using n-hexane, recovery rates of 98% for polyethylene (100-300µm) within a matrix of natural sand could be achieved.

This study evaluates the comparative benefits and efficiencies of acetone, chloroform and n-hexane as possible solvents for Nile Red concerning their suitability for microplastic quantification.

# MATERIAL AND METHODS

Six polymer-types (Goodfellow Inc.), nine post-consumer products made of or containing artificial polymers and three types of biogenic material were investigated regarding their condition when treated with Nile Red with varying solvents. Major characteristics in terms of size distribution of particles in different test material used for the staining experiments are displayed in table 1. All experiments were carried out for two size fractions (>0.3-1 mm and >1-5 mm). Particles belonging to the small size fraction or post consumer products were produced from larger material by cutting, grinding or carving. In total, four different shapes were considered: granules (approx. spherical, microbeads), fragments (irregular shape), fibres and films. In terms of the standard test material, irregular shapes mainly resulted from the grinding process of larger particles. The artificial polymers were transparent or whitish, except for bottle caps and fishing lines, which were coloured yellow, green or blue. For each sample ten particles were evenly placed on a filter membrane (413, VWR International, particle retention 5-13 µm) to allow the subsequent delimitation of single particles and the detection of potential degradation caused by the solvent treatment. Since the single arrangement of small fibres (>0.3-1 mm) was challenging, small piles of these were placed at fixed spots on the filter. All filters were kept in glass petri dishes.

The Nile Red concentration was set to 1 mg/ml in chloroform and acetone.<sup>23</sup> For the third solvent a 100 mg/l stock solution in acetone was diluted ten times with nhexane to generate a 10 mg/l working solution according to methods described by Song et al. (2014).<sup>26</sup> The solutions were thoroughly stirred until no visible particles of Nile Red remained. Each sample was treated with 1 ml of the respective solution and allowed to rest for 48 h covered with a watch glass under a fume cupboard until all moisture evaporated. Subsequently, the dyed membrane filters were photographed (Pentax K-30, exposure time 2", ISO 100, resolution 2420x2343) under UV-light (Omnilux UV 18W G13, 365 nm). Special care was given to avoid contamination during the whole analysis as far as possible (e.g. samples were covered whenever possible, humidity within the laboratory was increased to reduce aerial contamination).

All filters were examined for stained particles. In order to reduce the processor-imposed subjectivity a standard evaluation protocol, which utilizes image analysis techniques was applied. Fig 1 visualizes the workflow in an R environment<sup>31</sup> using RSAGA.<sup>32</sup> The RSAGA package enables the usage of SAGA (System for Automated Geoscientific Analyses)<sup>33</sup> in R. The RGB-composites were separated into single channels. An index (I) based on the normalized difference of the red channel and the blue channel was used in this study for distinguishing microplastics from the background. The fluorescence showed to be the highest in red visible light and lowest in blue for all solvents (for the vast majority of samples). For n-hexane green fluorescence had a similar intensity as red fluorescence, in accordance to findings reported before.<sup>26,28</sup> To allow an improved delimitation of stained particles, a majority filter (eight surrounding pixels) was applied. This filter replaces cell/pixel values based on the majority of their adjacent cells/pixels (cells must share an edge) within a raster. As a last step, a reclassification using a threshold (I<sub>m</sub>) was carried out. I<sub>m</sub> was determined manually and adapted for each solvent individually to avoid hindering the performance of the specific method (for acetone and chloroform  $I_m = 0.05$ ; for n-hexane  $I_m =$ 0.03). These results were used for quantification by counting areas, that were classified as fluorescent  $(I \ge I_m)$ . Particles that were partially stained were also taken into account, when they could be recognised as the original objects by their shape.

	Material/polymer	Shape				
Origin	type	> 0.3-1 mm	> 1-5 mm			
Standard reference material	HDPE	fragment	granule			
	LDPE	fragment	granule			
	РР	fragment	granule			
	PS	fragment	granule			
	PET	fragment/fi	granule/fib			
		bre	re			
	Nylon (PA)	fibre	fibre			
	Kevlar (PA)	fibre	fibre			
Post consumer products	Freezing bag (PE)	film	film			
	Bottle cap (PP)	fragment	fragment			
	Plastic bottle (PET)	fragment	fragment			
	Styrofoam (EPS)	fragment	granule			
	Fishing line (PA)	fibre	fibre			
	Food container (PS)	fragment	fragment			
	Pipe (PVC)	fragment	fragment			
	Cigarette filter (CA)	fibre	fibre			
	Cigarette filter used	fibre	fibre			
	(CA)					
Biogenic material	Algae	fragment	fragment			
	Hard plant material					
	(wood)	fragment	fragment			
	Soft plant material					
	(leafs)	fragment	fragment			

**Table 1:** Reference material used for the staining experiment.



Figure 1: Workflow for standardized evaluation of microplastic loads on a filter using SAGA-GIS in a R-environment.

## **RESULTS AND DISCUSSION**

Table 2 shows the results of the staining experiment. In general, chloroform achieves the highest recovery rates for plastics (>1-5mm = 58.3% and >0.3-1mm = 47.8%) whilst having the lowest impact on biogenic matter (30.0% and 13.3%). The performance of n-hexane was similar, however fewer plastic (52.8% and 42.8%) and more biogenic particles (43.3% and 13.3%) got stained. The issue of co-staining biogenic matter by n-hexane was reported by Shim et al. as well.<sup>28</sup> Compared to the other staining methods, the results achieved by acetone do not match up with respect to the materials recovered. Differences in performance are not only related to material composition, but also highly to the shape of investigated particles, as reported previously by Shim et al.<sup>28</sup>

Fibres have proven to be especially difficult to stain with recovery rates being well below the mean of granules and fragments for all solvents. Considering the three most demanded plastic polymer types in Europe (PE, PP, PVC: 58.8% of total demand) chloroform performed better than the other solvents in obtaining good recoveries to estimate microplastic numbers.<sup>34</sup>

Furthermore, the size of each particular particle has a significant influence on recovery rates (Fig 2). While 95% of all particles larger than 1 mm could be stained, only 71.7% of their smaller counterparts were identified. This difference is very likely due to the criteria of recurring shapes. Smaller particles that were stained partially were more difficult to identify than larger ones. Thus, it is not the staining procedure itself, but rather the evaluation method implemented that limits higher recovery rates for small particles.

Cellulose acetate in the form of cigarette butts had the tendency to (partly) melt when exposed to chloroform or acetone. This effect was even more severe for PS treated with chloroform, where the particles were completely dissolved. Hence, the quantification of cigarette butts was still possible in contrast to PS. We suspect the vulnerability of PS to be less significant when it is included in a field sample, as other compartments (e.g. sand, remaining biogenic material) should reduce the exposure intensity. Furthermore, styrofoam did not melt, indicating that surface properties play a major role in this context, as well.

In accordance to the findings of Shim et al.<sup>28</sup> PE and PP were effectively stained by Nile Red in solution with n-hexane, whereas PET and PA were not detectable. Concerning EPS, only the large fraction could be quantified in both n-hexane and chloroform. We hypothesize that this is due to the specific surface and density properties of the expanded material.

Compared to spectroscopic analysis methods such as (micro-)FTIR<sup>13,15,16</sup> or Raman-(micro)spectroscopy<sup>6,17</sup> the proposed approach is less time consuming and costly, but also less accurate and lacks information on the chemical composition of the sample. Nevertheless, staining polymer particles with Nile Red is less time-consuming and less cost intensive than (micro-)FTIR or Raman-(micro)spectroscopy.<sup>14,21</sup> All 120 samples in this study

could be processed within 48 hours, excluding 48 hours of drying.

The evaluation method with R based on image analysis techniques produced results being in good accordance with the visual impression of the true colour images in general (Fig 3). Additionally, edges were well displayed, hence allowing the assessments of shapes and sizes in future investigations. Though chloroform was the only solvent showing the ability to stain fibres (Fig 4, c), these results could not be considered, as they did not match the criteria of the evaluation protocol.

## Table 2: Recovery rates in percent (n = 10) of investigated reference material.

		Solvent						
		Aceton		Chloroform		n-Hexan		
	Fraction (mm)	>1	>0.3	>1	>0.3	>1	>0.3	
ence material	HDPE	0	10	100	100	90	70	
	LDPE	100	100	100	90	100	90	
	РР	0	100	100	100	100	100	
	PS	100	100	-	-	100	100	
l refer	PET, granules	0	100	20	100	0	0	
Jdard	PET, fibres	0	0	0	30	0	0	
Sta	Nylon (PA)	0	0	0	0	0	0	
	Kevlar (PA)	0	0	0	0	0	10	
Post consumer products	Freezing bag (PE)	20	0	70	30	0	0	
	Bottle cap (PP)	30	0	100	10	40	0	
	Plastic bottle (PET)	100	100	100	100	0	0	
	Styrofoam (EPS)	0	0	100	40	100	0	
	Fishing line (PA)	50	40	60	40	20	0	
	Food container (PS)	100	100	-	-	100	100	
	Pipe (PVC)	100	100	100	100	100	100	
	Cigarette filter (CA)	60	0	90	50	100	100	
_	Cigarette filter used (CA)	40	0	100	70	100	100	
	Algae	10	0	10	0	0	0	
iogen. Iateria	Hard plant material (wood, bark)	60	100	20	10	30	10	
ΞĒ	Soft plant material (leafs)	80	70	60	30	100	30	
Recovery rates	Plastics	39,4	41,7	58,3	47,8	52,8	42,8	
	Biogenic material	50,0	56,7	30,0	13,3	43,3	13,3	
	Granules	33,3	-	70,0	-	81,7	-	
	Fragments	82,5	78,9	75,0	66,7	60,0	62,2	
	Fibres	25,0	6,7	41,7	31,7	36,7	35,0	
	Films	20,0	0,0	70,0	30,0	0,0	0,0	
	PE, PP, PVC	41,7	51,7	95,0	71,7	71,7	60,0	
	PE, PP, PVC both fractions	46,7		83,3		65,8		

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Figure 2: Images of selected stained particles photographed under UV-light.



Figure 3:Stained particles of PVC (pipe) using acetone (a), PS (food container) using n-hexane (b) and PET fibres using chloroform (c).

# CONCLUSIONS

In general, chloroform has demonstrated to be the most suitable solvent in quantifying microplastics by achieving recovery rates of 83.3% for the most demanded polymer types in Europe. Nevertheless, this method does not reach the reliability of spectroscopic approaches like (micro-)FTIR or Raman-(micro)spectroscopy. Still, quantifying microplastics by differential staining with Nile Red is relatively simple, economical and fast. In comparison to visual examination a misinterpretation of mineral and calcareous biogenic particles (e.g. shells) can be ruled out. Therefore, it can represent a subsidiary method to quantify microplastic contamination, especially when a high number of samples cannot be examined completely by analytical approaches. In such cases, the proposed method can support the investigation of the remaining sample volume to allow an ensured quantitative extrapolation of the findings. Moreover, it can be particularly useful when recovery rates of test material, blank samples and spiked reference samples are to be assessed in terms of quality assurance for laboratory processing and protocols applied. All solvents showed the tendency to at least partly stain biogenic matter, which emphasizes the necessity to embed a pre-treatment for the destruction of biogenic matter into the operational protocol for microplastic identification. The transferability of the proposed method towards the analysis of field samples needs further assessment.

# **AUTHOR'S CONTRIBUTIONS**

Matthias Tamminga: Substantial contributions to conception and design, or acquisition of data, or analysis and interpretation of data. Involvement in drafting the manuscript or revising it critically for important intellectual content.

Elena Hengstmann: Substantial contributions to conception and design, or acquisition of data, or analysis

and interpretation of data. Involvement in drafting the manuscript or revising it critically for important intellectual content.

Elke Fischer: Involvement in drafting the manuscript or revising it critically for important intellectual content.

# ACKNOWLEDGEMENTS

The authors would like to thank Constantin vom Bruch for kindly revising the language of this article.

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